

FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

2833-113

U.S. Application No. (if known)

10/574,967

INTERNATIONAL APPLICATION NO.  
PCT/US2004/032941

INTERNATIONAL FILING DATE  
October 7, 2004

PRIORITY DATE CLAIMED  
October 7, 2003

TITLE OF INVENTION  
SIDE CHAIN DEUTERATED AMINO ACIDS AND METHODS OF USE

APPLICANT(S) FOR DO/EO/US  
Jonathan Miles BROWN et al.

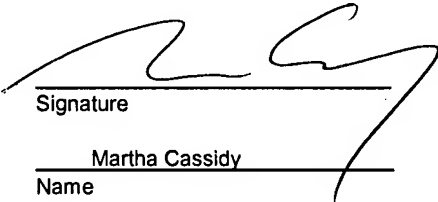
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**ITEMS 11. TO 20. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

U.S. APPLICATION NO. (If known) 10/574,967	INTERNATIONAL APPLICATION NO. PCT/US2004/032941	ATTORNEY DOCKET NO. 2833-113																
20. <input checked="" type="checkbox"/> Other items or information: <input checked="" type="checkbox"/> Copy of Notification of Missing Requirements <input checked="" type="checkbox"/> 1 month e.o.t. fee <input type="checkbox"/> RO/101 <input type="checkbox"/> RO/105 <input type="checkbox"/> ISA/210(ISR) <input type="checkbox"/> IB/301 <input type="checkbox"/> IB/304 <input type="checkbox"/> IB/308 <input type="checkbox"/> IB 401 <input type="checkbox"/> IB/409(IPER) <input type="checkbox"/> IB/416 <input type="checkbox"/> Published Application WO/																		
21. The following fees are submitted:  <input type="checkbox"/> Basic National Fee ..... \$300.00	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>																
22. <input type="checkbox"/> Examination Fee  If the written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations ..... \$200.00	\$																	
23. <input type="checkbox"/> Search Fee  If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority ..... \$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400.00 All other situations..... \$500.00	\$																	
TOTAL OF 21, 22 AND 23 =		\$																
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.	\$																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 35%;">Number of each additional 50 or fraction thereof</th> <th style="width: 15%;">Rate</th> </tr> <tr> <td style="text-align: center;">- 100 =</td> <td style="text-align: center;">/ 50 =</td> <td></td> <td style="text-align: center;">x 250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate	- 100 =	/ 50 =		x 250	\$									
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate															
- 100 =	/ 50 =		x 250															
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$130.00																
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Claims</th> <th style="width: 15%;">Number Filed</th> <th style="width: 35%;">Number Extra</th> <th style="width: 15%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">-20 =</td> <td></td> <td style="text-align: center;">X \$50.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td style="text-align: center;">X \$200.00</td> </tr> <tr> <td colspan="3" style="padding: 2px;">Multiple dependent claim(s) (if applicable)</td> <td style="text-align: center;">+ \$360.00</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate	Total Claims	-20 =		X \$50.00	Independent Claims	- 3 =		X \$200.00	Multiple dependent claim(s) (if applicable)			+ \$360.00	\$	
Claims	Number Filed	Number Extra	Rate															
Total Claims	-20 =		X \$50.00															
Independent Claims	- 3 =		X \$200.00															
Multiple dependent claim(s) (if applicable)			+ \$360.00															
TOTAL OF ABOVE CALCULATIONS =		\$																
<input checked="" type="checkbox"/> Applicant claims small entity status. The fees indicated above are reduced by 1/2.		\$-65.00																
SUBTOTAL =		\$																
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$																
TOTAL NATIONAL FEE =		\$																
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$																
TOTAL FEES ENCLOSED =		\$125.00																
		Amount to be refunded																
		Amount to be charged																

U.S. APPLICATION NO. (If known) 10/574,967	INTERNATIONAL APPLICATION NO. PCT/US2004/032941	ATTORNEY DOCKET NO. 2833-113
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 02-2135 in the amount of \$ <u>125.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to <b>charge any additional fees which may be required</b>, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Customer No. 06449</b></p> <p>Martha Cassidy Rothwell, Figg, Ernst &amp; Manbeck 1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040</p> <p> _____ Signature</p> <p>_____ Martha Cassidy Name</p> <p>_____ 44,066 Registration Number</p>		

1403136\_1.WPD



## UNITED STATES PATENT AND TRADEMARK OFFICE

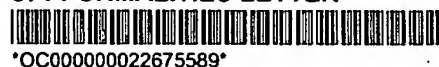
UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/574,967		2833-113

6449  
 ROTHWELL, FIGG, ERNST & MANBECK, P.C.  
 1425 K STREET, N.W.  
 SUITE 800  
 WASHINGTON, DC 20005

INTERNATIONAL APPLICATION NO.	
PCT/US04/32941	
I.A. FILING DATE	PRIORITY DATE
10/07/2004	

CONFIRMATION NO. 5906  
 371 FORMALITIES LETTER



\*OC000000022675589\*

Date Mailed: 02/28/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/07/2006
- Copy of the International Search Report filed on 04/07/2006
- Information Disclosure Statements filed on 10/11/2006
- Request for Immediate Examination filed on 04/07/2006
- U.S. Basic National Fees filed on 04/07/2006
- Priority Documents filed on 04/07/2006

ROTHWELL FIGG ERNST & MANBECK  
 FILE NO.: 2833-113  
 DKT PROC. INIT.

69 MAR - 2 2007

DKT ENTRY INIT: \_\_\_\_\_  
 SEND TO: BAF/mc  
 OK TO FILE: \_\_\_\_\_

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/574,967	PCT/US04/32941	2833-113

FORM PCT/DO/EO/905 (371 Formalities Notice)